Section 3 - Evaluation and Qualification Criteria

## Table of Criteria

1. Evaluation 3-2

1.1Adequacy of Technical Proposal 3-2

1.2Completion Time 3-2

1.3Technical Alternatives 3-2

1.4Quantifiable Nonconformities and Omissions 3-2

1.5Margin of Preference 3-2

1.6Multiple Contracts 3-3

2. Qualification 3-4

2.1Eligibility 3-4

2.1.1 Nationality 3-4

2.1.2Conflict of Interest 3-4

2.1.3ADB Eligibility 3-4

2.1.4Government-Owned Enterprise 3-4

2.1.5United Nations Eligibility 3-4

2.2Pending Litigation and Arbitration 3-5

2.2.1 Pending Litigation and Arbitration 3-5

2.3 Financial Situation 3-6

2.3.1Historical Financial Performance 3-6

2.3.2Average Annual Construction Turnover 3-6

2.3.3Financial Resources 3-7

2.4Construction Experience 3-8

2.4.1Contracts of Similar Size and Nature 3-8

2.4.2Construction Experience in Key Activities 3-9

# 1. Evaluation

In addition to the criteria listed in ITB 36.2 (a) – (e), other relevant factors are as follows:

# 1.1 Adequacy of Technical Proposal

# Evaluation of the Bidder's Technical Proposal will include an assessment of the Bidder's technical capacity to mobilize key equipment and personnel for the contract consistent with its proposal regarding work methods, scheduling, and material sourcing in sufficient detail and fully in accordance with the requirements stipulated in Section 6 (Employer’s Requirements).

# Non-compliance with equipment and personnel requirements described in Section 6 (Employer’s Requirements) shall not normally be a ground for bid rejection, and such noncompliance will be subject to clarification during bid evaluation and rectification prior to contract award.

# 1.2 Completion Time

# An alternative Completion Time, if permitted under ITB 13.2, will be evaluated as follows: *An alternative Completion Time shall not be permitted.*

# 1.3 Technical Alternatives

# Technicalalternatives, if permitted under ITB 13.4, will be evaluated as follows: *Technicalalternatives shall not be permitted*.

# 1.4 Quantifiable Nonconformities and Omissions

# Subject to ITB 14.2 and ITB 36.2, the evaluated cost of quantifiable nonconformities including omissions, is determined as follows:

Pursuant to ITB 31.3, the cost of all quantifiable nonmaterial nonconformities shall be evaluated, but excluding omission of prices in the Bill of Quantities. The Employer will make its own assessment of the cost of any nonmaterial nonconformities and omissions for the purpose of ensuring fair comparison of bids.

# 1.5 Margin of Preference

# If a margin of preference shall apply under ITB 35.1, the procedure will be as follows as: *a margin of preference shall not be allowed.*

# 1.6 Multiple Contracts

# If the Works are grouped in multiple contracts and pursuant to ITB 36.4, the Employer shall evaluate and compare Bids on the basis of a contract, or a combination of contracts, or as a total of contracts in order to arrive at the least-cost combination for the Employer by taking into account discounts offered by Bidders in case of award of multiple contracts: *Not Applicable*.

# 2. Qualification

# It is the legal entity or entities comprising the Bidder, and not the Bidder’s parent companies, subsidiaries, or affiliates, that must satisfy the qualification criteria described below.

# 2.1 Eligibility

| **Criteria** | **Compliance Requirements** | | | | **Documents** |
| --- | --- | --- | --- | --- | --- |
| **Requirement** | **Single Entity** | **Joint Venture** | | | **Submission Requirements** |
| **All Partners Combined** | **Each Partner** | **One Partner** |

# 2.1.1 Nationality

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Nationality in accordance with ITB Subclause 4.2. | must meet requirement | must meet requirement | must meet requirement | not applicable | Forms  ELI –1; ELI –2  with attachments |

# 2.1.2 Conflict of Interest

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No conflicts of interest in accordance with ITB Subclause 4.3. | must meet requirement | must meet requirement | must meet requirement | not applicable | Letter of Technical Bid |

# 2.1.3 ADB Eligibility

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Not having been declared ineligible by ADB, as described in ITB Subclause 4.4. | must meet requirement | must meet requirement | must meet requirement | not applicable | Letter of Technical Bid |

# 2.1.4 Government-Owned Enterprise

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Bidder required to meet conditions of ITB Subclause 4.5. | must meet requirement | must meet requirement | must meet requirement | not applicable | Forms ELI -1, ELI - 2 with attachments |

# 2.1.5 United Nations Eligibility

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Not having been excluded by an act of compliance witha UN Security Council resolution in accordance with ITB Subclause 4.7. | must meet requirement | must meet requirement | must meet requirement | not applicable | Letter of Technical Bid |

# 2.2 Pending Litigation

Pending litigation and arbitration criterion shall not apply.

# 

# 2.3 Financial Situation

# 2.3.1 Historical Financial Performance

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Criteria** | **Compliance Requirements** | | | | **Documents** |
| **Requirement** | **Single Entity** | **Joint Venture** | | | **Submission Requirements** |
| **All Partners Combined** | **Each Partner** | **One Partner** |
| Submission of audited financial statements or, if not required by the law of the Bidder’s country, other financial statements acceptable to the Employer, for the last ***three (3)*** years to demonstrate the current soundness of the Bidder’s financial position. As a minimum, the Bidder's net worth for the last year, calculated as the difference between total assets and total liabilities should be positive. | must meet requirement | not applicable | must meet requirement | not applicable | Form FIN - 1 with attachments |

# 2.3.2 Average Annual Construction Turnover

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Criteria** | **Compliance Requirements** | | | | **Documents** |
| **Requirement** | **Single Entity** | **Joint Venture** | | | **Submission Requirements** |
| **All Partners Combined** | **Each Partner** | **One Partner** |
| Minimum average annual construction turnover of **INR 23 million*,*** calculated as total certified (by Chartered Accountant) payments received for contracts in progress or completed, within the last *three (3)* years. | must meet requirement | must meet requirement | must meet  25%  of the requirement | must meet  40%  of the requirement | Form FIN - 2 |

# 2.3.3 Financial Resources

If the bid evaluation process and the decision for the award of the Contract takes more than one (1) year from the date of bid submission, Bidders shall be asked to resubmit their current contract commitments and latest information on financial resources supported by latest audited accounts/audited financial statements, or if not required by the law of the Bidder’s country, other financial statements acceptable to the Employer, and the Bidders’ financial capacity shall be reassessed on this basis.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Criteria** | **Compliance Requirements** | | | | **Documents** |
| **Requirement** | **Single Entity** | **Joint Venture** | | | **Submission Requirements** |
| **All Partners Combined** | **Each Partner** | **One Partner** |
| The Bidder must demonstrate that it has the financial resources to meet |  |  |  |  |  |
| 1. its current contract commitments, as defined in FIN-4 (Total Financial Requirements for Current Contract Commitments), plus | must meet requirement | not applicable | must meet requirement for its own contractual commitments | not applicable | Form FIN - 4 |
| 1. the requirements for the Subject Contract of INR 1.4 million | must meet requirement | must meet requirement | must meet  25% | must meet  40% | Form FIN – 3 and Form FIN - 4 |

# 2.4 Construction Experience

# 2.4.1 Contracts of Similar Size and Nature

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Criteria** | **Compliance Requirements** | | | | **Documents** |
| **Requirement** | **Single Entity** | **Joint Venture** | | | **Submission Requirements** |
| **All Partners Combined** | **Each Partner** | **One Partner** |
| Participation in at least one contract that has been successfully or substantially completed within the last ***five years*** and that is similar to the proposed works, where the value of the Bidder’s participation exceeds **INR 8.5 million**. The similarity of the Bidder’s participation shall be based on the physical size, nature of works, complexity, methods, technology or other characteristics as described in Section 6 (Employer’s Requirements). | must meet requirement | not applicable | not applicable | must meet requirement | Form EXP -1 |

# 2.4.2 Construction Experience in Key Activities

# (May be complied with by Specialist Subcontractors. Employer shall require evidence of subcontracting agreement from the Bidder. Specialist Subcontractor is a specialist enterprise engaged for highly specialized processes which cannot be provided by the main Contractor.)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Criteria** | **Compliance Requirements** | | | | **Documents** |
| **Requirement** | **Single Entity** | **Joint Venture** | | | **Submission Requirements** |
| **All Partners Combined** | **Each Partner** | **One Partner** |
| For the above or other contracts executed during the period stipulated in 2.4.1 above, a minimum construction experience in the following key activities: | must meet  requirements | must meet  requirements | not applicable | not applicable | Form EXP-2 |
| ***Not Applicable*** |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |